Research Terms of Reference

Informal IDP Site Profiling (RASP) Assessment

IRQ1702

Iraq

August 2019

REACH Informing more effective humanitarian action

1. Executive Summary

Country of intervention	*Iraq*				
Type of Emergency	□ Natural disaster		**X**	**Conflict**	
Type of Crisis	□ Sudden onset		□ Slow onset		**X** **Protracted**
Mandating Body/ Agency	***Iraq Camp Coordination and Camp Management (CCCM) Cluster***				
Project Code	*10DOD*				
Overall Research Timeframe *(from research design to final outputs / M&E)*	01/02/2019 to 31/10/2019				

Research Timeframe *Add planned deadlines (for first cycle if more than 1)*	1. Start collect data: 01/09/2019	4. Data sent for validation: 01/10/2019
	2. Data collected: 26/09/2019	5. Outputs sent for validation: 24/10/2019
	3. Data analysed: 31/09/2019	6. Outputs published: 31/10/2019

Number of assessments	X	Single assessment (one cycle)
	□	**Multi assessment (more than one cycle)**

Humanitarian milestones *Specify **what** will the assessment inform and **when** e.g. The shelter cluster will use this data to draft its Revised Flash Appeal;*	**Milestone**		**Deadline**
	□	Donor plan/strategy	_ _/_ _/_ _ _ _
	X	**Inter-cluster plan/strategy:** information will be used to inform the HRP 2020	31/10/2019
	X	**Cluster plan/strategy:** the CCCM cluster will use this data to inform response to informal site closures and advocacy regarding safe returns in 2020	31/10/2019
	□	NGO platform plan/strategy	_ _/_ _/_ _ _ _
	□	Other (Specify):	_ _/_ _/_ _ _ _

Audience Type & Dissemination *Specify **who** will the assessment inform and **how** you will disseminate to inform the audience*	**Audience type**	**Dissemination**
	X Strategic: CCCM Cluster coordination	**x General Product Mailing:** REACH SendInblue newsletter
	X Programmatic: Cluster partners	**x Cluster Mailing:** CCCM MailChimp
	X Operational: Cluster partners	**x Presentation of findings:** national and subnational coordination meetings as requested
		x Website Dissemination (Relief Web & REACH Resource Centre)

Detailed dissemination plan required	□	Yes	X	No

General Objective	Support evidence-based strategic orientation of the CCCM Cluster to address the needs of the existing case-load of internally displaced persons (IDPs) residing in large informal sites across Iraq through an assessment of priority needs and gaps in the provision of services to IDP families living in these sites[2]
Specific Objective(s)	➢ Identify primary needs of informal site residents through site-level profiling of multi-cluster and inter-sectoral needs, including: shelter and site conditions, WASH, health, food security, livelihoods, education, and safety and security; towards informing the 2020 CCCM cluster strategy. ➢ Highlight any gaps in assistance provided to meet primary needs, and thereby potential areas for further intervention ➢ Record sites that are reported to be especially vulnerable based on 'red-flag' indicators[4] ➢ Provide updated profiles of key needs among priority sites following the baseline Assessment
Research Questions	1. What is the profile of the site in relation to type, conditions or local environment, and population demographics (number of families in site, when the site was established, governorate of origin of site members , etc)? 2. What are the priority needs of IDP households in informal sites, within and among sectors, and where are the gaps in assistance or services provided? Sectors include: a) Shelter; b) WASH; c) Health; d) Food security; e) Livelihoods; f) Education; g) Site safety and security; h) Social cohesion and protection 3. What proportion of sites meet at least one 'red flag' criteria, as determined by the CCCM cluster standards, and are therefore designated as particularly vulnerable?
Geographic Coverage	*Prioritized informal IDP sites across Iraq.* *Criteria for targeting of priority sites are set as the following:* - *Critical shelter sites (informal settlements, religious buildings, schools; unfinished or abandoned buildings; and other informal settlements/collective centers)* - *Sites with 100 or more families, and only in governorates with at least 5 or more sites[5]*
Secondary data sources	• Population data e.g. IOM Integrated Location Matrix (ILA)

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[2] Informal sites were defined in the June 2017 assessment as congregations of five of more IDP households, living outside a formal camp, and either within 1) the same shelter, 2) a shared boundary, or 3) similar shelter typology, based on CCCM definition of informal sites at the time.

[3] As the 2018 Informal IDP Sites Assessment will be conducted in tandem with an intentions survey, the full objective will be: To understand existing large informal site caseload and projected displacement due to inability to return to area of origin.

[4] 'Red flag' identification is based on 20 indicators, agreed upon by REACH and CCCM. This was implemented in the previous two informal sites profiling rounds and will be used as a measurement of vulnerability amongst informal sites in this round also. The list of indicators can be found in the CCCM RASP Informal Site Assessment Comparative Dashboard, Round VI, March – April 2017. 'Red flag' indicators may be adapted for the 2018 round based on cluster need and operational interest.

[5] Both criteria will be applied to sites identified in IOM's Integrated Location Assessment (ILA), conducted between March to May of 2018.

	• General background and context research on sites being targeted for assessment, particularly information from operational partners • Geo-spatial data (e.g. UNOSAT satellite imagery) • Tools and Lessons Learned from similar REACH assessments (e.g. previous informal site profiling)					

Population(s) *Select all that apply*	□ IDPs in camp		**X** **IDPs in informal sites**			
	□ IDPs in host communities		□ IDPs [Other, Specify]			
	□ Refugees in camp		□ Refugees in informal sites			
	□ Refugees in host communities		□ Refugees [Other, Specify]			
	□ Non-displaced (hosting)		□ Non-displaced (not hosting)			
	□ Returnees		□ [Other, Specify]			

Stratification *Select type(s) and enter number of strata*	□ Geographical #:_ _ _ Population size per strata is known? □ Yes □ No	□ Group #: _ _ _ Population size per strata is known? □ Yes □ No	□ Informal site #: 146[6] Population size per strata is known? X Yes □ No

Data collection tool(s)	□ Structured (Quantitative)	**X** **Semi-structured (Qualitative)**

	Sampling method	**Data collection method**
Structured data collection tool # 1 *Key Informant Interview (KII) with site focal point or leader (for both baseline informal site profiling and monitoring round)*	**X Purposive**	**X Key informant interview:** Target # = 1 per site □ Group discussion (Target #):_ _ _ _ _ □ Household interview (Target #):_ _ _ _ _ □ Individual interview (Target #):_ _ _ _ _ □ Direct observations (Target #):_ _ _ _ _ □ [Other, Specify] (Target #):_ _ _ _ _
Target level of precision if probability sampling	N/A	N/A
Data management platform(s)	**X** **IMPACT**	

Expected output type(s)	□ Situation overview #:_	□ Report #: _ _	□ Profile #: _ _
	□ Presentation (Preliminary findings) #: _ _	**X** **Presentation (Final) #: 1** Presentation of findings (combined with intentions findings)	**X** **Factsheet #: 10-16** Governorate level factsheets based on first round of data collection[7]
	□ Dashboard #: _ _	□ Webmap #: _ _	**X** **Map #: 1** Nationwide map highlighting sites assessed and 'red flag' sites
	X **Cleaned and formatted Dataset #: 1** Informal Site Assessment dataset		
Access	□ **Public (available on REACH resource center and other humanitarian platforms)**		

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[6] This number was reached through analysis of the IOM DTM ILA data and is tentative, based on accessibility of sites, and whether they remain open at the time of data collection.
[7] Where governorates have fewer than 10 sites, findings will be aggregated to a multi-governorate level, to ensure anonymity of site-related findings for public dissemination.

	X	Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms)
Visibility *Specify which logos should be on outputs*		*REACH, CCCM Cluster, data collection partners (acknowledgements page)*

2. Rationale

2.1. Rationale

Since January 2018, and for the first time since the beginning of the Iraqi internal displacement crisis in 2013, the number of people returning to their area of origin has exceeded the number displaced.[8] As of June 2019, the number of internally displaced people (IDPs) families living in informal shelters throughout the country stands at 171,699, spread across 3,645 sites.[9] In 2019 and 2020 the CCCM cluster will continue to prioritise the need to support IDPs in informal sites in line with the cluster's strategic priorities and the Humanitarian Response Plan, particularly those who are living in critical shelters, to help ensure they are able to live safely and in dignity, where they wish to remain.[10]

REACH in coordination with the Camp Coordination and Camp Management (CCCM) Cluster, has conducted regular rounds of site profiling in formal camps and informal sites for internally displaced persons (IDPs) since 2014. These assessments have been conducted in order to support strategic and evidence-based programming and inform inter-cluster coordination to respond to the needs of these vulnerable populations. This will consist of a multi-sector site profiling assessment, covering: site shelter, WASH, health, food security, livelihoods, education, and safety and security, in order to identify primary needs of continually displaced populations in informal sites.

2.1. Methodology overview

The population of interest includes IDPs residing in prioritized informal sites across Iraq. Informal sites are by definition not recognized or regularly supported by the government, and subject to CCCM monitoring, referral and advocacy. Common characteristics are as follows: Sites not built to accommodate the displaced but serving that purpose; Authorities have not assumed responsibility for management and administration; Services and assistance may be available but are not provided regularly.

Informal sites will be identified using data from IOM's Integrated Location Assessment (ILA). The criteria for prioritization will be: populations of 100 or more with families living in critical shelters.[11] Primary data collection will employ a qualitative methodology, consisting of Key Informant Interviews (KIIs) with the site leader for each selected informal IDP site.

2.2. Population of interest

The population of interest includes IDPs residing in selected informal sites across Iraq. The population will be assessed at the site level, and findings aggregated up to district and governorate level.

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[8] [IOM-Iraq DTM](#). As of 31 May 2018.
[9] [IOM ILA](#) as of 30 June 2019
[10] [2018 Iraq Humanitarian Response Plan](#). February 2018.
[11] Defined in IOMs ILA as: informal settlements, religious buildings, schools; unfinished or abandoned buildings; and other informal settlements/ collective centers.

2.3. Secondary data review

This assessment will make use of data from IOM-DTM, namely the Integrated Location Matrix (ILA), to provide locations, site profile, size, and contact information for site leaders, of informal sites across Iraq. Geo-spatial data, such as UNOSAT satellite imagery, will be used to plot informal site locations during data collection, and may be used to visualise data collection coverage in the final outputs. Data from operational partners of the CCCM cluster may also be used to identify priority sites to be included in the assessment.

In addition, this assessment will draw on information from previous assessments, including the following:
  ➢ REACH and CCCMs Intentions Survey reports, tools, and dataset, conducted amongst the out-of- camp IDP population
  ➢ REACH and CCCMs In-Camp Intentions Survey factsheets, tools, and dataset
  ➢ REACH and CCCMs last Informal IDP Sites assessment report, tools, and dataset

2.4. Primary Data Collection

REACH, in coordination with CCCM Cluster and its operational partners, will conduct an assessment of selected informal IDP sites, with sites selected according to parameters set and agreed with the CCCM cluster, located using IOM DTM's ILA dataset.

Identifying Sites

Based on the ILA data and criteria of number of families and critical shelter applied, 146 sites will be visited and assessed. However, the final number will depend on any additional sites requested to be included in the sample by operational partners, any new sites identified during the process of data collection, and accessibility for REACH or partner data collection teams based on the security situation.[12] The final list of sites will be divided up by governorate and district, with either REACH enumerator or partner data collection teams sent to the field for data collection. Sites assessed cover 11 governorates and 23 districts. See Annex 1 for a full list of districts.

Table 1: Sample of selected sites based on ILA data of sites with critical shelters with 100 or more families, by governorate

Governorate	Estimated # RASP locations
Anbar	5
Baghdad	2
Dahuk	48
Diyala	2
Erbil	1
Kerbala	1
Kirkuk	20
Missan	1
Ninewa	34
Salah al-Din	30
Sulaymaniyah	2
Total Sites	146

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[12] Criteria may include prioritization based on size i.e. top 15-20% of sites in terms of population size, or specific requests from CCCM operational partners based on programmatic need.

Baseline Informal Site Profiling Data Collection

Where possible, the site leader or focal point will be contacted in advance to confirm the location and verify the size of the site. The KI interview will then be conducted with this individual, or a different adult respondent that is knowledgeable about the site and has been referred by the site leader where they are unavailable for interview. If the site does not meet the criteria for assessment, i.e. it has fewer than required number of families, there are no families, it is not a site but rather an area with dispersed IDP families with no link to each other, or there are no critical shelters within the site, the enumerator will record the reasons, and then not conduct the interview.

If the site meets the criteria for assessment, all questions will be asked at the site level. The questionnaire will be developed based upon the CCCM Rapid Assessment Site Priority (RASP) tool, updated based on lessons learned from previous rounds, and with additional multi-sectoral input. The questionnaire will be asked using a Kobo tool on a smart phone to streamline data processing and analysis.

2.5. Data Processing & Analysis

All data will be downloaded from the Kobo server and cleaned daily, with a data cleaning guide to be developed for field coordinators, who will be trained to conduct initial cleaning and spot-checks for following up with enumerators. The Assessment Officer (AO) will be responsible for checking the data daily. Any changes to the raw dataset will be logged, with relevant metadata recorded. In addition, the SSID assigned to each selected location will be entered into the form by the enumerator at the time of data collection. This will then be cross referenced with the coordinates recorded during data collection to monitor that the correct sites have been assessed. At the end of data collection there will one cleaned dataset produced which can be shared with relevant CCCM partners and used to inform the HRP.

Once data collection and cleaning has been completed, the AO will conduct analysis, aggregating data up to the district or governorate level. In the case of numeric variables, this will be done through calculating averages, and calculating frequency of response options selected for categorical variables. Variables indicating proportion will be aggregated to the district level, and weighted by site population size. Analysis will be conducted using relevant software such as Excel or SPSS. The dataset and analysis will be reviewed by REACH's technical Data Unit in Geneva. For more details on the data analysis process, see the Data Analysis Plan in Annex 2.

3. Roles and responsibilities

Table 2: Description of roles and responsibilities

Task Description	Responsible	Accountable	Consulted	Informed
Research design	Assessment Officer	Assessment Officer	REACH Assessment Manager / HQ, CCCM Cluster	N/A
Supervising data collection	Field coordinator	Assessment Officer	REACH Operations Manager	CCCM Cluster
Data processing (checking, cleaning)	Field coordinator, Junior Assessment Officer, GIS officer	Assessment Officer	REACH Assessment Manager / HQ	CCCM Cluster
Data analysis	Junior Assessment Officer	Assessment Officer	REACH Assessment Manager/ HQ, CCCM Cluster	N/A
Output production	Assessment Officer	Assessment Officer	REACH Assessment Manager / HQ, CCCM Cluster	N/A
Dissemination	Assessment Officer, CCCM Cluster	Assessment Officer	REACH Assessment Manager/ HQ	N/A
Monitoring & Evaluation	Assessment Officer	Assessment Officer	REACH Assessment Manager / HQ	N/A
Lessons learned	Assessment Officer	Assessment Officer	REACH Assessment Manager/ HQ	CCCM Cluster

Responsible: *the person(s) who executes the task*

Accountable: *the person who validates the completion of the task and is accountable of the final output or milestone*

Consulted: *the person(s) who must be consulted when the task is implemented*

Informed: *the person(s) who need to be informed when the task is completed*

Data Analysis Plan

See Annex 2.

5. Data Management Plan

Can be shared upon request

6. Monitoring & Evaluation Plan

IMPACT Objective	External M&E Indicator	Internal M&E Indicator	Focal point	Tool	Will indicator be tracked?
Humanitarian stakeholders are accessing IMPACT products	Number of humanitarian organisations accessing IMPACT services/products Number of individuals accessing IMPACT services/products	# of downloads of x product from Resource Center	Country request to HQ	User_log	X Yes
		# of downloads of x product from Relief Web	Country request to HQ		X Yes
		# of downloads of x product from Country level platforms	Country team		□ Yes
		# of page clicks on x product from REACH global newsletter	Country request to HQ		□ Yes
		# of page clicks on x product from country newsletter, sendingBlue, bit.ly	Country team		X Yes
		# of visits to x webmap/x dashboard	Country request to HQ		□ Yes
IMPACT activities contribute to better program implementation and coordination of the humanitarian response	Number of humanitarian organisations utilizing IMPACT services/products	# references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies)	Country team	Reference_log	Iraq HRP 2020, CCCM Cluster strategy
		# references in single agency documents			CCCM operational partners country strategy
Humanitarian stakeholders are using IMPACT products	Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery	Perceived relevance of IMPACT country-programs	Country team	Usage_Feedback *and* Usage_Survey template	1. Usage survey to be conducted in November 2019, following the release of the first dataset and factsheets, targeting at least 10 partners
		Perceived usefulness and influence of IMPACT outputs			
		Recommendations to strengthen IMPACT programs			
		Perceived capacity of IMPACT staff			
		Perceived quality of outputs/programs			

	Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products	Recommendations to strengthen IMPACT programs			2. Usage survey to be conducted at the end of the research cycle related to all outputs
Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle	Number and/or percentage of humanitarian organizations directly contributing to IMPACT programs *(providing resources, participating to presentations, etc.)*	# of organisations providing resources (i.e. staff, vehicles, meeting space, budget, etc.) for activity implementation	Country team	Engagement_log	□ Yes
		# of organisations/clusters inputting in research design and joint analysis			□ Yes
		# of organisations/clusters attending briefings on findings;			X Yes

ANNEX 1: DISTRICT LEVEL SAMPLING

Sample of selected sites based on ILA data of sites living in critical shelters with 100 or more families, by governorate and district[13]

Governorate	District	# RASP locations
Anbar		5
Anbar	Falluja	5
Baghdad		2
Baghdad	Mahmoudiya	2
Dahuk		48
Dahuk	Dahuk	8
	Sumel	26
	Zakho	14
Diyala		2
Diyala	Al-Khalis	1
	Ba'quba	1
Erbil		1
Erbil	Erbil	1
Kerbala		1
Kerbala	Al-Hindiya	1
Kirkuk		20
Kirkuk	Daquq	4
	Kirkuk	16
Missan		1
Missan	Amara	1
Ninewa		34
Ninewa	Akre	3
	Al-Shikhan	6
	Mosul	19
	Sinjar	3
	Tilkaif	3
Salah al-Din		30
Salah al-Din	Al-Shirqat	1
	Samarra	14
	Tikrit	13
	Tooz	2
Sulaymaniyah		2
Sulaymaniyah	Chamchamal	1
	Sulaymaniya	1

ANNEX 2: DATA ANALYSIS PLAN

Research questions	Sub Question	Indicator #	Red flag indicator	Indicator	Questionnaire QUESTION	Response options	Notes	Data collection method	Aggregations (Group types)
Location verification		A.1	N/A	Enumerator ID	Enter enumerator number	Dropdown menu			
		A.2	N/A	# sites by governorate	What is the governorate?	Dropdown menu		Secondary Data	N/A
		A.3	N/A	# sites by district	What is the district?	Dropdown menu		Secondary Data	N/A
		A.4	N/A	Site visit verification	What grid number are you in?	Dropdown menu		Secondary Data	N/A
		A.5	N/A		What is the site name?	Dropdown menu		Secondary Data	N/A
		A.6	N/A		What is the site ID?	Dropdown menu		Secondary Data	N/A
		A.7	N/A		Record side GPS location	GPS		Secondary Data	N/A
		A.8	N/A	# sites still inhabited	Is the site still inhabited?	Yes; No		Enumerator observation	N/A
		A.9	N/A	# sites not inhabited, by reason	What is the reason the site is not inhabited (select al that apply)?	No families; Fewer than 100 families (how many); Declined to interview; No one available to interview	If 'No' to A.9 (then end interview)		
		A.10	N/A	KII verification	What is the name of the site focal point?	Text		Enumerator observation	N/A
		A.11	N/A	KII verification	What is the sex of the site focal point?	Male; Female		Enumerator observation	N/A
		A.12	N/A	KII verification	What is the phone number of the site focal point?	Telephone number		Enumerator observation	N/A
1. What is the profile of the site?	**A) Site type**	1.a.1	N/A	# sites by type	What is the type of site area you are assessing?	Collective centre; Self-settled site; Dispersed settlements; Small settlement		Enumerator observation	District, Governorate
		1.a.2	N/A	# sites are single or clustered informal sites	Is this a single or clustered site?	Single; clustered; Other (specify)		Enumerator observation	District, Governorate
	B) Site demographics	1.b.1	N/A	% sites by month/year first occupied	When was the site first occupied?	Date (month/year)		KII	District, Governorate
		1.b.2	N/A	% sites by month/year majority of families arrived	When did the majority of families arrive to the site?	Date (month/year)		KII	District, Governorate
		1.b.3	N/A	Average number of families per site	How many families live in the site currently?	Integer		KII	District, Governorate
		1.b.4.i	N/A	% sites by primary governorate of origin of majority population	Which governorate is the area of origin for most of the families?	Dropdown menu		KII	District, Governorate
		1.b.4.ii	N/A	% sites by primary district of origin of majority population	Which district is the area of origin for most of the families?	Dropdown menu		KII	District, Governorate

		1.b.4.iii	N/A	% of families from other governorates	What other governorates do families originate from?	Select multiple; list of governorates			
		1.b.5	N/A	% sites by month/year majority of families first left their AoO	When did most families leave their area of origin for the first time?	Date (month/year)		KII	District, Governorate
		1.b.6	N/A	Average # majority of families have been displaced	How many times have most families been displaced (since Jan 2014)?	Integer		KII	District, Governorate
		1.b.7	N/A	Average # sites where majority families intend to return in the next three months	What are the movement intentions for the majority of families at the site for the next three months?	Stay at site; Return to AoO; Move within governorate; move to another governorate; move out of Iraq		KII	District, Governorate
		1.b.8	N/A	Average # sites where majority families intend to displace to another governorate in the next three months, by governorate of intended next displacement	What governorate do families intend to move to?	Dropdown list	If 'move to another governorate' for 1.b.7	KII	District, Governorate
		1.b.9	N/A	Average % site population, by age and sex demographics	How many individuals living in the site are there: female 0-5; male 0-5; female 6-17; male 6-17; female 18-59; male 18-59; female 60+; male 60+	Integer (per group)		KII	District, Governorate
		1.b.10	N/A	Average # of individuals per site	Please confirm the total number of people in this site is {SUM of 1.a.6}	Integer		KII	District, Governorate
		1.b.11	X (any female headed families present)	Average % female headed families per site	How many families are female headed (18-59 years)?	Integer	Cannot be greater than 1.b.3	KII	District, Governorate
		1.b.12	X (any child headed families present)	Average % child headed families per site	How many families are child headed (0-17)?	Integer	Cannot be greater than 1.b.3	KII	District, Governorate
		1.b.13	X (any separated/ unaccompanied children)	Average # of unaccompanied minors per site	How many persons under the age of 18 are living with no mother, no father or any other adult relative in the site?	Integer	Cannot be greater than {SUM: female 0-17, male 0-17} in 1.b.10	KII	District, Governorate
		1.b.14	N/A	Average % site population, persons with	How many individuals living in the site have a permanent physical disability?	Integer	Cannot be greater than 1.b.10	KII	District, Governorate

				permanent physical disability					
		1.b.15	N/A	Average % site population, persons with permanent physical disability that affects their ability to perform daily living activities	For how many does the medical problem/disability affect their ability to perform daily living activities? (hint: eating, bathing, toileting, dressing)	Integer	If >0 for 1.b.14	KII	District, Governorate
		1.b.16	N/A	Average % site population, persons suffer from chronic illness	How many individuals living in the site suffer from a chronic illness?	Integer	Cannot be greater than 1.b.10	KII	District, Governorate
		1.b.17	N/A	Average % site population, pregnant and/or lactating women	Number of women living in the site are pregnant or lactating	Integer	Cannot be greater than {SUM: female 6-17, female 18-59} in 1.b.10	KII	District, Governorate
	C) Site arrangements	1.c.1	N/A	% sites by type of site owner	Who is the owner of the site?	Private individual; Public/government entity; Commercial business; NGO; Charity; Owner not know; Other (specify)		KII	District, Governorate
		1.c.2	N/A	Details of site owner	What is the full name of the site owner?	Text		KII	District, Governorate
		1.c.3				Phone number		KII	District, Governorate
		1.c.4	N/A	% sites with a functional and recognized leadership in place	Does the site have functional and recognized leadership in place?	Yes; No; Don't know; Prefer not to say		KII	District, Governorate
		1.c.5	N/A	% sites with a functional and recognized leadership in place, by actors involved in leadership	Who is mainly involved in the leadership structure?	Religious leader; Tribal leader; leader of Ethnic group; Local authorities; site/Site committee; Other (specify)		KII	District, Governorate
2. What are the priority needs of the IDP household?	**C.1) Site details**	2.c.1	X (if certain shelter types selected?)	Average % site families, by type of shelter	How many families live in each of the following types of shelter: Tent; Makeshift or improvised shelter; Unfinished/Abandoned building (UAB); non-residential structure (garage, farmhouse, shop, etc); School or other public building; Religious buildings; Damaged residential building; house or apartment	Integer (per type)	SUM cannot be greater than 1.b.10	KII	District, Governorate

		2.c.2	N/A	% sites where all families pay rent for their shelter	Do all of the families in the site pay rent?	Yes; No, none pay rent; No, only some pay rent; No, but the majority pay rent		KII	District, Governorate
		2.c.3	N/A	% sites by main type of tenancy agreement of families	What is the main type of tenancy agreement that families in the site have to live in their current shelter?	No tenancy agreement; Written valid tenancy agreement (not expired); Written valid tenancy agreement (expired); Verbal tenancy agreement; We own the Land; Don't know; Other (specify)		KII	District, Governorate
		2.c.4	N/A	Average monthly cost of rent (IQD)	What is the average amount of rent paid by families each month (in IQD)?	Integer		KII	District, Governorate
		2.c.5	X (>0)	Average % site families have been evicted in the last 3 months	Approximately how many families have been forcibly evicted in the last 3 months?	Integer	(% - not to exceed 100)	KII	District, Governorate
		2.c.5	X (>0)	Average % site families currently at risk of eviction	Approximately how many families in the site are currently at risk of forcible eviction?	Integer	(% - not to exceed 100)	KII	District, Governorate
		2.c.6	N/A	Average % site families currently at risk of eviction, by actors trying to evict	Which actors are trying to evict the site residents?	Private owner; local authorities; Military (ISF); security actors (e.g. PMF, NSF); police (federal, governorate, or local); armed groups (e.g. ISIL); host community members; Prefer not to say	.2.c.5 > 0	KII	District, Governorate
		2.c.7	N/A	Average % site families currently at risk of eviction, by reason	What are the main reasons that these families are at risk of eviction?	Lack of funds to pay rental costs; Local community does not accept our community living in the area; Authorities requested our community to leave; Request to vacate from owner of building/land; Don't know; Prefer not to say; Other (specify)	.2.c.5 > 0	KII	District, Governorate
		2.c.8	N/A	Average % site families currently at risk of eviction, by timeframe for eviction	When do families expect to be evicted?	Immediately; less then 2 weeks; less than 1 month; less than 3 months; more then 3 months; Don't know; Prefer not to say	.2.c.5 > 0	KII	District, Governorate
		2.c.9	X (yes)	% sites display evidence of overcrowding	Is there evidence of overcrowding in the site?	Yes; No	Note: more than 1 family sharing a single room, more than 10 people sharing a bathroom, etc.	KII	District, Governorate
		2.c.10	N/A	% sites where elderly or disabled families are able to access the site and structures in it	Are elderly or disabled individuals able to access the site and structures within it?	Yes; No		KII	District, Governorate

		2.c.11	X (red flag for each need selected)	% sites reporting shelter needs, by need	What are the current shelter needs of families in the site (select all apply)?	Protection from hazards (contamination from explosive remnants of war, land at risk of flooding or landslides, solid waste dumping site, fire risks, etc.); Improve safety and security (shelter located in an insecure/ isolated area, shelter not solid enough to offer protection from intruders, not fenced, without security of tenure, etc); Improve privacy and dignity (no separate rooms, not enough space, shared facilities such as toilets & showers, low/high ceilings, lack of ventilation, lack of natural lighting); Protect from climatic conditions (leaking roof, floor not insulated, opening on the walls, broken windows, lack of ventilation, missing heating system, etc.); Improve basic infrastructures and utilities (access to electricity, cooking and bathing/toilet facilities); Improve structural stability of the building (signs of failure such as leaning walls, big cracks and bends in structural components - beam, slab, column, rafter, purlin and wall; risk of falling debris)	Y/N/DNK for each need	KII	District, Governorate
		2.c.12	X (<50%)	Average % site families not able to access electricity for at least 4 hours per day in the 30 days prior to interview	Approximately how many families in the site were able to access electricity for at least 4 hours per day in the last 30 days?	Integer	(% - not to exceed 100)	KII	District, Governorate
		2.c.13	N/A	% sites experiencing electrical concerns, by type of concern	Are there any electrical concerns at the site?	No electricity supply to the whole site; Poor wiring; Low and uncovered electrical pylons; Electrical sockets near water; Overloaded circuits; Other (specify); None	Select multiple (except if 'none')	KII	District, Governorate
		2.c.14	N/A	% sites with fire safety equipment available, by type of equipment	Is there any fire safety equipment available?	Fire extinguishers; Smoke detectors; Fire blankets; Sand buckets; Other (specify); None	Select multiple (except if 'none')	KII	District, Governorate
		2.c.15	X (red flag for each 'yes')	% sites where there is a risk of UXOs or mines on the site or in the surrounding areas	Is there a risk of unexploded ordinance or mines, or unlabeled or unknown chemicals on the site or in surrounding areas?	Yes, UXOs; Yes, chemicals; Neither		KII	District, Governorate

		2.c.16	N/A	Top 3 most frequently cited needs of families in the site	What are the priority needs for families in the site (select up to 3)?	Food Water Healthcare Education Employment/ livelihoods opportunities Shelter support PSS Civil documentation Sanitation Basic NFI kit Clothing Summerization items Winterization items Other		
	D) NFIs	2.d.1	N/A	Top 3 most frequently cited NFI needs of families in the site	What are the priority NFI needs for families in the site?	Bedding items (bedsheets, pillows); Mattresses/Sleeping mats; Blankets; Cooking utensils/kitchen set; Cooking fuel; Cooking stove; Water storage; Sources of light; Basic Clothing Winter Clothing; Fan; Air water cooler (AWC); Coolbox; Winter heaters/stove; Heating fuel; Fuel storage; None of the above; No NFI needs in the community; Other (specify)	select multiple; up to 3 (except if 'No NFI needs in the community')	KII	District, Governorate
		2.d.2	N/A	Average % of site families received assistance in the 30 days prior to interview, by type of assistance received	What type of assistance did families receive in the last 30 days?	Cash Vouchers Food In-kind (NFI) Shelter repairs Education services Healthcare services Legal assistance services None Other		KII	District, Governorate
		2.d.3	N/A	Average % of site families received assistance in the 30 days prior to interview, by source of assistance received	From whom did families receive assistance?	UNHCR; UNICEF; WFP; INGO; Local NGO; Host community; Religious organization; Other (specify)	If 'none' not selected for 2.d.2	KII	District, Governorate

		2.d.4	X(if particular coping strategies selected? Which ones?)	% sites where families used livelihoods-based coping strategies in the 30 days prior to interview, by strategy type	Did any families use livelihoods-based coping strategies in the last 30 days? (Select all that apply)	Selling family assets / goods (jewelry, phone, furniture etc) Selling productive assets or means of transport (sewing machine, wheelbarrow, bicycle, car, motorbike) Selling house or land Sold some assistance items received Reducing non-food expenses (e.g. health, education) Reducing food intake Spending savings Borrowing money / buying on credit Sent children (under 18) to work) High risk / illegal work Other None		KII	District, Governorate
	E) WASH	2.e.1	N/A	% sites by families' primary source of drinking water	What is the primary source of drinking water for families?	Municipal water network (communal access); Municipal water network (private access); Connected to a borehole or well with functioning pump; Water trucking; Purchase water from shop; Unprotected open well; Protected open well; Natural water source; Other (specify)		KII	District, Governorate
		2.e.2	N/A	% sites where the primary source of drinking water is deemed acceptable in terms of colour, taste, and smell	Is the primary source of drinking water acceptable in terms of colour, taste, and smell?	Yes; No		KII	District, Governorate
		2.e.3	X (>3)	Average number of days families spent without access to drinking water in the 30 days prior to interview	What was the average number of days families spent without access to drinking water in the last 30 days?	Integer			
		2.e.4	X (Yes)	% sites where there is evidence of open defecation	Is there evidence of open defecation at the site?	Yes; No	Note that this is direct observation, not a question to be asked	Enumerator observation	District, Governorate
		2.e.5	N/A	% sites where soap is generally available for washing hands	Is soap generally available for washing hands at the site?	Yes; No; Don't know		KII	District, Governorate
		2.e.6	N/A	% sites experienced flooding in the last 6 months	Has the site experienced flooding in the last 6 months?	Yes; No		KII	District, Governorate

		2.e.7	X (Throw in street/ open place)	% sites by families' primary method of waste disposal	What is the primary method of waste disposal by families?	Municipal collection; Private collection; Communal garbage bin; Rubbish pit; Burning; Throw in street/ open place; Other (specify)		KII	District, Governorate
	F) Healthcare	2.f.1	N/A	Top 3 most frequently cited primary health issues	What health issues have site residents experienced in the last 30 days?	Numerous cases of diarrhea; Skin diseases; Numerous cases of fever; Numerous cases of respiratory diseases; Numerous cases of pregnancy related diseases; Numerous trauma-related injuries; physical disabilities; mental disabilities; No other issue reported; Other (specify)	Select multiple (up to 3)	KII	District, Governorate
		2.f.2	X (distance limit?)	% sites with accessible primary health services within 2km of the site location	How far away is the nearest accessible primary health services from the site location (km)?	Integer		KII	District, Governorate
		2.f.3	N/A	% sites with accessible health provider for pregnant/lactating women within 2km of the site location	How far away is the nearest accessible health care provider for pregnant/and or lactating women from the site location (km)?	Integer		KII	District, Governorate
		2.f.4	N/A	Most frequently encountered problems accessing healthcare when needed in the 30 days prior to interview	What problems did families encounter accessing health care when they needed it in the last 30 days?	Cost of healthcare was too high; No qualified healthcare professionals; facility not equipped to deal with the problem; facilities were too far away; Insufficient funds to purchase treatment/ medication; Medication not available; Refused treatment; Language barrier; Lacked correct civil documentation; Facilities not open; No transport available; Cost of transport was too high; Other (specify)	(% - not to exceed 100)	KII	District, Governorate
		2.f.5	N/A	Average % of children under 5 in the site have not been vaccinated for polio and measles	What proportion of children under the age of 5 have not been vaccinated for polio and measles?	Integer	(% - not to exceed 100)	KII	District, Governorate
	G) Food Security	2.g.1	X (>0)	Average % site families did not have sufficient access to food in the 7 days prior to interview	Approximately how many families did not have sufficient access to food in the last 7 days?	Integer	(% - cannot exceed 100)	KII	District, Governorate

		2.g.2	N/A	Top 3 most frequently cited primary challenges to accessing food	What are families' primary challenges to accessing food?	No cooking facilities; No cooking fuel; Distance to markets; No utensils/ kitchen equipment; Lack of availability of food in the markets; Not enough money; Not enough food available on the markets overall Limited access to food due to physical/logistical constraints (e.g. damaged roads, no vehicles, long distance); Limited access to food due to security constraints; Limited access to food due to limited economic resources; Agricultural/livestock production is disrupted; Available food is of low quality; Other (specify); Don't know	Select multiple (up to 3)	KII	District, Governorate
		2.g.3	N/A	% sites by how regularly site families receive food assistance	How regularly did families receive food assistance in the last 3 months?	Every day; Every week; Every month; Never		KII	District, Governorate
	H) Livelihoods	2.h.1	N/A	% sites with an easily accessible and functional market within 2km	How far away is the nearest easily accessible functional market (km)?	Integer		KII	District, Governorate
		2.h.2	N/A	% sites where needed items are not available at the nearest market, by items missing	Are there any items that are not available at the market that you need?	Basic food items; Water; Basic families items (e.g. mattress, blankets, kitchen utensils); Tools, hardware and materials; Hygiene items; Basic medicine; Kerosene; Other(specify); Everything needed is available	Select multiple (except 'everything needed is available)	KII	District, Governorate
		2.h.3	N/A	Top 3 most frequently cited primary livelihoods sources	What are families' primary livelihoods sources (select up to 3)?	Commercial agriculture; smallholder agriculture; subsistence agriculture; unskilled agricultural labour; unskilled wage labour; casual unskilled labour; self-employment; low skilled service industry; public sector/ civil servant; Public security official; Skilled service industry; Pension from government; Social security; Gifts (in-kind or cash from friends and relatives); loans; humanitarian aid; savings; selling assets	Select multiple (up to 3)	KII	District, Governorate
		2.h.4	X (suggested % earned no income?)	Average % site families have earned an income in the 30 days prior to interview	Approximately how many families have earned an income in the last 30 days?	Integer	(% - cannot exceed 100)	KII	District, Governorate
		2.h.5	X (>0)	Average % young people under 19 were engaged in employment in the 30 days prior to interview	Approximately how many young people (under the age of 18) worked in the last 30 days?	Integer	(% - cannot exceed 100)	KII	District, Governorate

I) Education	2.i.1	N/A	Average % site families have school-aged children	Approximately how many school aged children (aged 6 to 17) are there in the site?	Integer	(% - cannot exceed 100)	KII	District, Governorate
	2.i.2	N/A	Average % school-aged children have access to formal school	Approximately how many school-aged children have **access** to formal school?	Integer	(% - cannot exceed 100)	KII	District, Governorate
	2.i.3	N/A	Top 3 most frequently cited challenges to accessing formal education	What are the primary challenges to accessing formal education?	Not enough schools or classrooms Not enough teachers Not enough learning materials Physical/ logistical constraints Long distance to travel to school Limited economic resources (e.g. to pay for school fees, uniform, transport, books, etc) Security constraints (e.g. presence of UXOs in or around the school) School being used for other purposes i.e. shelter Other None	Select multiple (up to 3) (unless selected 'none')	KII	District, Governorate
	2.i.4	X (suggested %?)	Average % school-aged children are attending formal school	Approximately how many school-aged children are **attending** formal school?	Integer	(% - cannot exceed 100)	KII	District, Governorate
	2.i.5	N/A	Average # months school-aged children not attending formal school have not attended	Of the children not in formal school, on average, how long have these children not attended school (months)?	Integer		KII	District, Governorate
J) Protection	2.j.1	N/A	Top 3 most frequently cited primary barriers to movement faced by people in the site	What are the primary barriers to movement that people in the site face (select up to 3)?	No money for transportation cost; Missing ID documents; Lack of security clearance; Risk to personal safety; gender-based movement restrictions; community-imposed movement restrictions; continued violence in the area; Other (specify); None	Select multiple (up to 3) (unless selected 'none')	KII	District, Governorate
	2.j.2	X (Yes)	% sites experience tension between host community families and the occupants	Is there tension between host community families and the occupants of the site?	Yes; No; Don't know; Prefer not to say		KII	District, Governorate
	2.j.3	X (Yes)	% sites where security incidents occurred in or near in the last 30 days	Have any security incidents occurred on or near the site in the last 30 days i.e. incident with local security forces, robberies, violence, etc.?	Yes; No; Don't know; Prefer not to say		KII	District, Governorate
	2.j.4	N/A	% sites where security incidents occurred in or near, by actors involved	Which actors did these incidents involve?	Host community; Military (ISF); security actors (e.g. PMF, NSF); police (federal, governorate, or local); Local authorities; armed groups (e.g. ISIL); Site residents; Prefer not to say	If 'yes' to 2.j.3	KII	District, Governorate

		2.j.5	N/A	% sites where security incidents occurred in or near, by nature of the incident	What was the nature of the incident?	Text		KII	District, Governorate
		2.j.6	X (No)	% sites where people feel safe in the site area	Do people feel safe in the site area?	Yes; No; Don't know; Prefer not to say		KII	District, Governorate
		2.j.7	N/A	% sites where people do not feel safe in the site area, by most frequently cited reasons	Why do people feel unsafe in the site area?	GBV inside/outside the HH; Illegal, dangerous or exploitative working conditions; Close to conflict (armed attacks, front line etc.); Risk of explosive hazards (mines, bombs, IEDs); Fear of armed security actors (recruitment, detention, violence, threats or harassment); Fear of extremist groups (recruitment, violence, threats or harassment); Fear of community/tribal groups (violence, threats, harassment related to ongoing dispute); Social exclusion or discrimination; Prefer not to say; Other (specify)	If 'Yes' to 2.j.6	KII	District, Governorate
	K) Protectio n	2.k.1	X (1 or more areas identified)	% sites where women and girls do not feel safe, by type of area	Are there areas where women and girls do not feel safe?	In shelters; feeding centre/ distribution point; Water point; Market; School; Healthcare facility; WASH facilities (latrines/ bathing); Other (specify); Prefer not to say; None	Select multiple (except 'none')	KII	District, Governorate
		2.k.2	X (1 or more areas identified)	% sites where men and boys do not feel safe, by type of area	Are there areas where men and boys do not feel safe?	In shelters; feeding centre/ distribution point; Water point; Market; School; Healthcare facility; WASH facilities (latrines/ bathing); Other (specify); Prefer not to say; None	Select multiple (except 'none')	KII	District, Governorate
		2.k.3	N/A	% sites where there are adequate facilities/services available for individuals with physical health issues	Are there adequate facilities and/or services available for persons in the site with physical, intellectual or mental health disabilities, through government, humanitarian or private service providers?	Yes; No; Don't know		KII	District, Governorate
		2.k.4	N/A	% sites where there are adequate facilities/services available for individuals with mental health issues or PSS needs	Are there adequate mental health and psycho-social support services available for persons in the site, through government, humanitarian or private service providers?	Yes; No; Don't know		KII	District, Governorate

		2.k.5	N/A	Average % families are missing needed documents for one or more members	Approximately how many families in the site are missing needed documents for one or more members (i.e. civil ID, nationality certificate, birth certificate, marriage/divorce certificate, Public Distribution System [PDS]/food ration card, etc.)?	Integer	(% - cannot exceed 100)	KII	District, Governorate
		2.k.6	N/A	Average distance to accessible courts and civic registration offices	How far away are the nearest and accessible courts and civil registration offices that your family can use (km)?	Integer		KII	District, Governorate
		2.k.7	N/A	% sites where courts are accessible for vulnerable IDPs	Are they accessible for vulnerable IDPs, taking into account legal costs, distance, etc.?	Yes; No; Don't know		KII	District, Governorate
3. What proportion of sites meet at least one 'red flag' criteria and are therefore designated as particulary vulnerable?		3.1.	N/A	Average # red flags per site	How many red flags were reported per site?	Integer	Calculated in analysis, not question to be answered	Data analysis (see 'red flag indicator column)	District, Governorate